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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docke	et No. <u>42390,P10796</u>	٥ =
	ventor Robinson, et al.	04 m
Title: CONTE	XT-BASED INFORMATION PROCESSING	577
Express Mail La	abel No. <u>EL371005579US</u>	10/01
ADDRESS TO:	Commissioner for Patents Box Patent Application Washington, D. C. 20231-0001	
APPLICATION See MPEP cha	ELEMENTS apter 600 concerning utility patent application contents.	
1. <u>X</u>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	
2	Applicant Claims Small Entity Status. (37 CFR 1.27)	
3. <u>X</u>	Specification (Total Pages 52) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table,	
. ×	or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	
4. <u>X</u>	Drawings (35 USC 113) (Total Sheets <u>6</u>)	
5. <u>X</u>	Oath or Declaration (Total Pages <u>5</u>)	
	a Newly Executed (Original or Copy)	
	b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)	
	i. <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
	c. X Unsigned.	
6	Application Data Sheet. (37 CFR 1.76)	
7.	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
8. (if applicable, a	Nucleotide and/or Amino Acid Sequence Submission all necessary) Computer Readable Form (CRF)	
b	Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or ii paper Statement verifying identity of above copies	
	ACCOMPANYING APPLICATION PARTS	

Assignment Papers (cover sheet & documents(s))

10 a. Separate 37 CFR 3.73(b) Statemen	t (where there is an assignee)
b. Power of Attorney	
11 English Translation Document (if applicable	le)
12 a. Information Disclosure Statement (IDS	S)/PTO-1449
b. Copies of IDS Citations	
13 Preliminary Amendment	
14. X Return Receipt Postcard (MPEP 503) (S	should be specifically itemized)
15 Certified Copy of Priority Document(s) (if f	oreign priority is claimed)
16 Request and Certification under 35 U.S form PTO/SB/35 or its equivalent.	.C. 122(b)(2)(B)(i). Applicant must attach
17 Other:	
18A. If a CONTINUING APPLICATION, check appropriat	e box and supply the requisite information:
Continuation Divisional	Continuation-in-part (CIP)
Of Prior Application No.: Examiner (which is a continuation/ divisional/ CIP of prior app which is a continuation/ divisional/ CIP of prior app	lication no,
Applicant(s): Also include a Preliminary Amendment to ame For CONTINUATION AND DIVISIONAL APPS only: The entire oath or declaration is supplied under Box 5b, is considered continuation or divisional application and is hereby incorporelied upon when a portion has been inadvertently omitted for the statement under 37 CFR 3.73(b) for continuing application.	e disclosure of the prior application, from which an a part of the disclosure of the accompanying rated by reference. The incorporation can only be rom the submitted application parts.
The undersigned states that assignee of the entire right, title, and interest in the accomprecorded in the Patent and Trademark Office at Reel. No which is attached).	(name of assignee) is the anying patent application by virtue of an assignment
19. Correspondence Address	
Customer Number or Bar Code Label 008791 or (I X Correspondence Address Below	nsert Customer No. or Attach Bar Code Label here)
NAME John P. Ward, Esq.	
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN I	LLP
ADDRESS <u>12400 Wilshire Boulevard</u>	
Seventh Floor	
CITY Los Angeles STATE California	ZIP CODE <u>90025-1026</u>
Country U.S.A. TELEPHONE (408) 7204	8300 FAX (408) 720-9397
Name (PRINT/TYPE): John P. Ward	Registration No.: 40,216
Signature:	Date: <u>January 24, 2002</u> PTO/SB/35(11-00)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **FEE TRANSMITTAL FOR FY 2002 TOTAL AMOUNT OF PAYMENT \$1,118.00** Complete if Known: Application No. Not yet known Filing Date January 24, 2002 First Named Inventor Robinson, et al. Group Art Unit Not yet assigned Examiner Name Not yet assigned Attorney Docket No. 42390.P10796 METHOD OF PAYMENT (check one) The Commissioner is hereby authorized to charge deficiencies in fees and credit [X]any over payments to: **Deposit Account Number** 2-2666 **Deposit Account Name** Ī Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27 ſ 1 2. X Payment Enclosed: X Check **Money Order** Other **FEE CALCULATION** 1. **BASIC FILING FEE** Large Entity **Small Entity** Fee Fee Fee Fee Code (\$) Code (\$) **Fee Description** Fee Paid Utility application filing fee 101 740 201 370 740.00 106 330 206 Design application filing fee 165 510 Plant filing fee 107 207 255 108 740 208 370 Reissue filing fee Provisional application filing fee 114 160 214 80 SUBTOTAL (1) \$ 740.00 2. **EXTRA CLAIM FEES** Fee from Extra Claims below Fee Paid **Total Claims** 18.00 = 378.00 Independent Claims 3 X Multiple Dependent **Or number previously paid, if greater; For Reissues, see below. Large Entity **Small Entity** Fee Fee Fee Fee Code Code **Fee Description** (\$) (\$) 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 **Reissue independent claims over original patent 110 18 210 9 **Reissue claims in excess of 20 and over original patent SUBTOTAL (2) \$_378.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Code 105 127 139 147 2099 8 112 113 115 116 117 118 119 120 121 138 1 140 141 142 1 143 144	(\$) 130 50 130 2,520 3,800 920* 1,840*	Fee Code 205 227 139 147 099	Fee (\$) 65 25 130 2,520 8,800	Fee Description Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet Non-English specification For filing a request for ex parte reexamination	Fee Paid
105 127 139 147 2 099 8 112 113 1 115 116 117 118 1 128 1 119 120 121 138 1 140 141 1 142 1 143 144	130 50 130 2,520 3,800 920* 1,840*	205 227 139 147 099	65 25 130 2,520	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet Non-English specification	
127 139 147 2 099 8 112 113 1 115 116 117 118 1 128 1 119 120 121 138 1 140 141 1 142 1 143 144	50 130 2,520 3,800 920* 1,840*	227 139 147 099	25 130 2,520	Surcharge - late provisional filing fee or cover sheet Non-English specification	
139 147 2099 8 112 113 115 116 117 118 1 128 1 119 120 121 138 1 140 141 1 142 1 143 144	130 2,520 3,800 920* 1,840*	139 147 099	130 2,520	Non-English specification	
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113 1 115 116 117 118 1 128 1 119 120 121 138 1 140 141 1 142 1 143 144	1,840* 110		920*	Requesting publication of SIR prior to Examiner action	
115 116 117 118 1 1128 1 119 120 121 138 1 140 141 1 142 1	110	113	1,840*	Requesting publication of SIR after Examiner action	
116 117 118 1 128 1 119 120 121 138 1 140 141 1 142 1		215	55	Extension for reply within first month	
117 118 1 128 1 119 120 121 138 1 140 141 1 142 1	400	216	200	Extension for reply within second month	
118 1 1128 1 1119 1 120 1 121 1 138 1 140 1 141 1 142 1 143 1		217	460	Extension for reply within third month	
128 1 119 120 121 138 1 140 141 1 142 1		218	720	Extension for reply within fourth month	
119 120 121 138 1 140 141 1 142 1		228	980	Extension for reply within fifth month	
120 121 138 1 140 141 1 142 1 143		219	160	Notice of Appeal	
121 138 1 140 141 1 142 1 143		220	160	Filing a brief in support of an appeal	
138 1 140 141 1 142 1 143 144		221	140	Request for oral hearing	
140 141 1 142 1 143 144		138	1,510	Petition to institute a public use proceeding	
141 1 142 1 143 144		240	55	Petition to revive – unavoidable	
142 1 143 144		241	640	Petition to revive - unintentional	
143 144		242	640	Utility issue fee (or reissue)	
144		243	230		-:
	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	1
123	50	123	5 0 ·	Processing fee under 37 CFR 1.17(q)	
126		126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per	
				property (times number of properties)	
146	740	246	370	For filing a submission after final rejection	2
				(see 37 CFR 1.129(a))	
148		248	55	Statutory Disclaimer	
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
179		279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	ī
195	300	195	300	Publication fee for early, voluntary, or normal pub.	
196		196	300	Publication fee for republication	
194	400	194	130	Request for voluntary publication or republication	
098		098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	<u> </u>
091 1	130			A	,
Other fee	130	091	1,280	Acceptance of unintentionally delayed claim for priority	
Other fee	130 1,280	091	•	Acceptance of unintentionally delayed claim for priority	

*Reduced by Basic Filing Fee Paid

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SUBM	ITTED B	Y: /

Typed or Printed Name:

Signature:

Reg. Number: 40,216

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Date: January 24, 2002

Telephone Number: (408) 720-8300 x237

John P. Ward

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JUDY L. STEINFRANS	191
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(Signature of person mailing paper or fee)	
(Date signed)	•

BSTZ File No.: 42390, P10796	ON PROCESSING
Date Mailed January 24, 2002	Atty/Secty Initials JPW: jxs
The following has been received in the U.S.	Docket Due Dale: 11/2 Patent & Trademark Office on the date stamped hereon:
Amendment/Response (pgs.)	Express Mail No. EL371005579US Check No. 47884
Appeal Brief (pgs.) (in triplicate)	■ Express Mail Nov. <u>EL5 / 10055 / 9</u> US ■ Check Nov. 47884 □
 Application - Utility (52) pgs., with cover and abstract 	initiation Lecture Salement & PTO 149 (pgs.) Classes Not 7885
Application - Rule 1.53(b) Continuation (* pgs.)	☐ Issue Fee Transmittet
Application = Rule (.53(b) Divisional (pgs.)	☐ Notice of Appeal
Application - Rule (153(b) CIF (pps.)	D Petition for Extension of Time
Application * Rule (53(d) CPA Transmittal (pps.)	
Application Design (pgs.) Application PCT (pgs.)	Ensucarii
Application Provisional (pgb.)	Provest of Alterney (pgs.)
Assignment and Cover Sheet	Preliminary Amendment (pgs.) Repti Brief (pgs.)
Certificate of Mailing	Π.
Declaration & POA (5 pgs) (unexecute	C Response to Notice of Missing Parts Small Entity Declaration for Indep. Inventor/Small Business
LEGISLE PUSACIPAT CHARLES SPIEGLAREN DECI	Transmittal Letter, in duplicate (2. pages).
Drawings: 6 # of sheets includes figures	Her Terrorisms - Lat. (7 pages)
Figs. 1, 2, 3, 4, 5, and 6.	